



PATENT  
245001-2000.2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Gary Katz

Serial No.: 10/771,993

Filed: February 3, 2004

For: AUTOMATED EXCHANGE FOR TRADING OF  
COMPLEX ORDERS

Examiner: Campbell, Kellie L.

Art Unit: 3691

Confirmation No.: 3573

745 Fifth Avenue  
New York, NY 10151

**FIRST CLASS MAIL**

Date of Deposit: December 30, 2009

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated above.

Stephen J. Lieb, Reg. No. 41,943  
(Name of Applicant, Assignee or Registered Representative)

(Signature)

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Examiner's attention is directed to the following documents set forth in the accompanying form PTO-1449. Copies of the foreign cited documents are enclosed. Applicant

requests that the Examiner consider and make of record the documents cited herein and that a copy of Form PTO-1449, initialed by the Examiner be returned to the Applicants' attorneys.

This Information Disclosure Statement is not a representation that the documents cited herein are considered most pertinent, or that a search has been undertaken or that the cited documents are indeed prior art. The Examiner is invited to undertake an independent search.

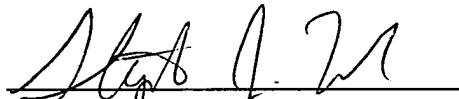
This Information Disclosure Statement is being submitted under 37 CFR 1.97(d) after receipt of a final Office Action. A check in the amount of \$180.00 is attached in payment of the fee set forth in 37 CFR 1.17(p).

The Commissioner is authorized to charge any additional required fee for this paper, or credit any overpayment in fees for this paper, to Deposit Account 50-0320.

Respectfully submitted,

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